PI Compliance Questions and Certification

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| --- | --- | --- | --- | --- |
| **Proposal #:** | | $PROPOSAL\_ID | | |
| **Lead Unit:** | | $LEAD\_UNIT | | |
| **Sponsor:** | | $SPONSOR\_NAME | | |
| **Proposal Title:** | | $TITLE | | |
| **PI:** | | **$PI** | | |
| **Investigator Signature:** |  | | **Signature Date:** | Click or tap here to enter text. |

**Included Questionnaires:** Personnel Certification Questionnaire, International Questionnaire, Compliance Questionnaire

**Creation Date:** 11/02/2022

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| **Personnel Certification** | | |
| **Q1076\_Have lobbying activities been conducted on behalf of this proposal?** | Yes | No |
| **Q1077\_Is the information submitted within this application true, complete and accurate to the best of your knowledge? Please be aware that any false, fictitious, or fraudulent statements or claims may subject you, as an Investigator (PI/Co-PI/Co-Investigator/Key Person) to criminal, civil or administrative penalties. Checking “Yes” confirms that you have read, understand and are in compliance with the federal agency requirements listed here** https://jhura.jhu.edu/current-and-pending-or-other-support/. | Yes | No |
| **Q1119\_Do you hold a paid or unpaid appointment, position or affiliation at any entity other than JHU This includes unpaid positions such as guest, adjunct, honorary, or visitor titles at other institutions.**  If “yes,” has the appointment been disclosed to and approved by your divisional dean's office? All outside appointments require prior approval by your divisional dean's office.  If “yes,” please ensure that all appointments are listed in your Biosketch and are included in your eDisclose record.  If “no,” please contact your divisional dean's office to identify the next steps for obtaining approvals. See: https://research.jhu.edu/jhura/compliance/foreign-engagement/  **If No, advance to the next non-shaded question.** | Yes | No |
| **Q1134\_Are the paid or unpaid appointments, affiliations, or employment with a foreign institution?**  If yes, please remember that you must upload copies of contracts/agreements specific to any foreign appointments and/or employment with a foreign institution for all foreign activities and resources that are reported in your Other Support documents. If the contracts/agreements are not in English, recipients must provide translated copies.  NOTE: The contracts, including the contract for appointments and any other contracts associated with any resources provided resource must first be approved by your divisional dean’s office and then uploaded as a single file in Other Support document. | Yes | No |
| **Q1078\_Do you (or your spouse, domestic partner, or dependent children) have a financial interest or fiduciary relationship that 1) could be affected by the research or 2) is an entity that could be affected by the research? This applies to current interests/relationships and those within the past 12 months.**  A financial interest or fiduciary relationship includes, for example, receipt or contractual entitlement to royalty, equity, or consulting remuneration, employment, and service as an officer or Board of Directors member.  **If you answer Yes to this question,** you must disclose your financial interest or fiduciary relationship in your organization’s disclosure system. For JHU Investigators, use [eDisclose](http://edisclose.jhu.edu) link, and include such disclosure in the proposal or progress report (e.g. outside employment in your Biosketch). | Yes | No |
| **Q1115\_Are you participating in any investigator program sponsored by a foreign government (e.g. Talent recruitment program)?**  NOTE: Many federal funders do not permit researchers participating in such programs from receiving any federal research support.  NOTE: Foreign talent programs are defined as any foreign-state-sponsored attempt to acquire US scientific-funded research or technology through foreign government-run or funded recruitment programs that target scientists, engineers, academics, researchers, and entrepreneurs of all nationalities working or educated in the United States?  **If No, advance to the next non-shaded question.** | Yes | No |
| Q1116\_What country(ies)?  If you need to list additional countries, Go to [APPENDIX A](#_Appendix_A_–) | Choose a Country | |
| **Q1135\_Do you have any visiting postdoctoral fellows, students or scholars involved in research for your lab supported in full or in part by any non-JHU organization, institution, company or government?** All visitors must be approved according to the JHU Visitor’s Policy.  **If No, advance to the next shaded question.** | Yes | No |
| Q1136\_If yes, was this visitor approved according to the JHU’s Visitor’s Policy?  Note: The visitor’s efforts, including the external funding support for the visitor, are considered Other Support and must be disclosed to federal funders in your Other Support document. https://research.jhu.edu/jhura/current-and-pending-or-other-support/ | Yes | No |
| **Q1137\_Do you have access to “in-kind” research resources from any source outside of JHU?**  This includes resources obtained through a formal or informal collaboration, appointment, or relationship with an outside institution, organization or company. Examples include lab or office space, specialized equipment, research data or materials that are provided without charge to you. See: https://grants.nih.gov/grants/forms/othersupport.htm  If yes, you must disclose the details of that resource in your Other Support. Identify any agreements under which you receive these resources. If the research resources are from a foreign institution and related to a foreign appointment or foreign employment (including consulting or research that has not been approved in eDisclose), you must upload the associated contracts under which you received access to the resource. | Yes | No |
| **Q1079\_Are you currently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from current transactions by a federal department or agency?** | Yes | No |
| **Q1080\_Do you agree to accept responsibility for the scientific conduct of the project and to provide all required progress reports?** | Yes | No |
| **Q1117\_I have reviewed the proposal and certify that I have completely and accurately disclosed my current and pending support/other support (both domestic and foreign,) including the amount, type, and source of all support received, or expected to be received, as of the time of this disclosure or will do so at the Just in Time stage of the application process, as may be required by the funder. I understand I am obligated to report in a timely fashion any changes to such disclosures in accordance with University and sponsor policy, and that JHU is required to promptly relay such information to the sponsor.** | Yes | No |
| **Q1138\_I have been made aware of the requirements under federal law to completely and accurately disclose my current and pending support/other support, and I am aware that any false, fictitious, or fraudulent statements or claims related to my current and pending/other support may subject me to criminal, civil, or administrative penalties under federal law.** | Yes | No |

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| **Compliance Questionnaire** | | |
| **Q1005\_Does this proposal involve human subjects? If this proposal includes any of the following, please answer "yes."**   * Interventional clinical trial * Observational clinical studies * Social/Behavioral intervention * Collection of data by interview, survey, focus group, educational tests, observation of public behavior * Review of existing identifiable data including protected health information   **If No, advance to the next non-shaded question.** | Yes | No |
| Q1006\_Does this project involve disclosure/receipt of protected health information to/from sponsor or third parties? | Yes | No |
| Q1100\_Is this a multi-site study? **If yes, answer Q1091.** | Yes | No |
| Q1091\_You have indicated that your proposal includes a multi-site study involving human subjects. A plan for use of a single IRB (sIRB) may be required for your federally-funded human subjects research. The sIRB is the selected IRB of record that conducts the ethical review for participating sites of the multi-site study.  Does this proposal require use of a single IRB (sIRB) to review the human subjects research proposed?  Please check with the appropriate JHU IRB if uncertain whether your study may require use of a sIRB. **If yes, answer Q1102.** | Yes | No |
| Q1102\_Will you use the JHM IRB or an External IRB as the sIRB? | JHM IRB | External IRB |
| **Q1007\_Does this project involve the use of any of the following: human embryonic stem cells (hESCs), somatic cell nuclear transfer (SCNT) involving human cells or other human pluripotent stem cells (hPSCs) that are already subject to oversight by the JHU Institutional Stem Cell Research Oversight (ISCRO) Committee (**[**http://www.hopkinsmedicine.org/Research/iscro/**](http://www.hopkinsmedicine.org/Research/iscro/)**)?**  **If No, advance to the next shaded question.** | Yes | No |
| Q1054\_Have you obtained review and approval from the Stem Cell Research Oversight Committee (JHU ISCRO)? | Yes | No |
| **Q1008\_Does this project involve use of live vertebrate animals? If yes, be sure to complete the Special Review Tab.** | Yes | No |
| **Q1017\_Will the project include subawards or subcontracted effort to other organizations?**  **If No, advance to the next shaded question.** | Yes | No |
| Q1107\_Will there be any foreign subrecipients? | Yes | No |
| Q1104\_Does any named JHU investigator (or any immediate family member of a named JHU investigator) have an ownership or equity interest in any proposed subrecipient?  **If no, skip to Q1105.** | Yes | No |
| Q1106\_Has the relevant named JHU investigators disclosed, as required by JHU Policy, all financial interests and employment relationships between the named JHU investigator (and/or their immediate family member) and the proposed subrecipient? | Yes | No |
| Q1105\_Does any proposed subrecipient employ any immediate family member of a named JHU investigator?  **If no, skip to Q1020.** | Yes | No |
| Q1106\_Has the relevant named JHU investigators disclosed, as required by JHU Policy, all financial interests and employment relationships between the named JHU investigator (and/or their immediate family member) and the proposed subrecipient? | Yes | No |
| **Q1020\_Do you anticipate that this project will involve existing JHU intellectual property (yours or another investigator's), such as inventions, copyrights, etc.?**  **If No, advance to the next non-shaded question.** | Yes | No |
| Q1038\_Has the proposed use been approved by Johns Hopkins Tech Transfer? | Yes | No |
| Q1037\_Please identify the JHU disclosure number. Click or tap here to enter text. | | |
| **Q1066\_Will any tangible item or information used for, or likely to arise from, the proposed project a) be the product of defense funding, b) be designed, developed, configured, adapted, or modified for a military, space or intelligence application, or c) have potential, military, space or intelligence applications?** | Yes | No |
| **Q1098\_Do you:**  **- have access to non-public information, or**  **- have you performed or do you expect to perform work for the federal government; that may provide you or another member of The Johns Hopkins University with an unfair competitive advantage in applying for federal funding or that could appear to bias its judgement?**  **If No, advance to the next non-shaded question.** | Yes | No |
| Q1099\_Please provide a general explanation regarding the activities as defined in the COI policy. | | |
| Click or tap here to enter text. | | |
| **Q1108\_Does this proposal relate to COVID-19 work?**  **If No, advance to the next shaded question.** | Yes | No |
| Q1109\_Does this proposal involve funds coming from the Coronavirus Aid, Relief, and Economic Security (CARES) Act? | Yes | No |
| Q1110\_Will your proposal include any studies exposing animals to SARS-CoV-2 (COVID-19) at JHU? | Yes | No |
| Q1111\_Does this proposal require that you use the resources of Johns Hopkins Medicine (JHM), such as access to patients and/or healthcare workers, biospecimens, or data? | Yes | No |
| **Q1092\_Will the project include or anticipate a Johns Hopkins Clinical Research Network (JHCRN) as a sub site or participant in this study? (For SOM USE ONLY)**  **If No, advance to the next non-shaded question.** | Yes | No |
| Q1093\_Please check the appropriate Johns Hopkins Clinical Research Network (JHCRN) as a sub site or participant. | | |
| Alleghany Singer Research Institute |  |  |
| Anne Arundel Medical Center |  |  |
| Howard County Hospital |  |  |
| Inova Health System |  |  |
| Peninsula Regional Medical |  |  |
| Reading Hospital/Tower Health |  |  |
| Sibley Memorial Hospital |  |  |
| Suburban Hospital |  |  |
| Q1094\_Please provide comments on the Johns Hopkins Clinical Research Network (JHCRN) as a sub site or participant being used.  Click or tap here to enter text. | | |
| **Q1047\_Does this project involve the use of biohazardous materials? If yes, be sure to complete the Special Review Tab.**  **If No, advance to the next shaded question.** | **Yes** | **No** |
| Q1144\_Briefly describe the use of biohazardous materials? | Yes | No |
| **Q1010\_Does this project involve use of radioactive materials?**  **If No, advance to the next non-shaded question.** | **Yes** | **No** |
| Q1210\_Briefly describe the use of radioactive materials? Click or tap here to enter text. | | |
| **Q1011\_Does this project involve use of hazardous and highly-toxic chemicals (e.g., carcinogens, mutagens, chemicals at the NIOSH IDLH level)?**  **If No, advance to the next shaded question.** | **Yes** | **No** |
| Q1146\_Briefly describe the use of hazardous chemicals? Click or tap here to enter text. | | |
| **Q1012\_Does this project involve use of recombinant DNA?**  **If No, advance to the next non-shaded question.** | **Yes** | **No** |
| Q1147\_Briefly describe the use of recombinant DNA? Click or tap here to enter text. | | |
| **Q1013\_Will the project necessitate alterations or renovations?**  **If No, advance to the next shaded question.** | Yes | No |
| Q1031\_Please provide an explanation of the required alterations. Click or tap here to enter text. | | |
| Q1044\_Have the alterations/renovations been approved by the Dean's Office? | Yes | No |
| **Q1014\_Will additional space be needed in any project location?**  **If No, advance to the next non-shaded question.** | Yes | No |
| Q1032\_Please add an explanation of the additional space request. Click or tap here to enter text. | | |
| Q1042\_Has space request been approved by the Dean's Office? | Yes | No |
| **Q1026\_Is cost sharing or matching required by the sponsor?**  **If No, advance to the next shaded question.** | Yes | No |
| Q1049\_Has cost sharing been approved by the department and/or Dean, as appropriate? | Yes | No |
| Q1045\_Please provide cost centers and/or internal orders that will be used for cost sharing.  Click or tap here to enter text. | | |
| **Q1018\_In this project, will you be utilizing information provided under a confidentiality agreement with a third party?**  **If No, advance to the next non-shaded question.** | Yes | No |
| Q1035\_Please provide the name of the any third parties with whom you expect to enter into confidentiality agreements.  Click or tap here to enter text. | | |
| **Q1015\_Are any administrative costs included in the budget?**  **If No, advance to the next shaded question.** | Yes | No |
| Q1033\_Please provide an explanation for the administrative costs requested. | | |
| Click or tap here to enter text. | | |
| **Q1095\_Is this proposal, in any way, a result of Catalyst or Discovery award funding?** Choose **one** option below: | | |
| Catalyst  Discovery  N/A | | |
| **Q1142\_Will work be conducted off-campus or off-site?**  **If No, advance to the next shaded question.** | **Yes** | **No** |
| Q1143\_Please confirm that you will comply with the NSF policy for Safe and Inclusive Working Environments Plan for Off-Campus and Off-Site Research and will provide a project-specific plan, disseminated to individuals participating in the off-campus or off-site research prior to departure (see NSF PAPPG Chapter II.E.9). | Yes | No |
| **Q1019\_In this project, will you be utilizing materials provided under a Material Transfer Agreement (MTA) with a sponsor and/or third party?** | Yes | No |
| Q1036\_Please provide the name of the company or institutions with whom you have or may have the material transfer agreement.  Click or tap here to enter text. | | |
| Q1148\_Is this an incoming MTA? **If no, go to Q1151.** | Yes | No |
| Q1149\_Does your transfer of materials involve the procurement and/or use of banked and historical human biospecimens, such as cell lines and tissues? **If no, go to Q1151** | Yes | No |
| Q1150\_Please verify the following with regard to the banked/historical human biospecimens: There will be no attempt to re-identify biospecimens that have been provided without identifiers.- The biospecimens will not be used for reproductive purposes (e.g., to create embryos from in vitro derived gametes).- All required institutional approvals have been or will be secured. | Yes | No |
| Q1151\_Does your transfer of materials involve the procurement of fresh human somatic cells and/or tissues for the purposes of stem cell research? NOTE: If yes, additional institutional approval is required. Contact biospecimens@jhu.edu . | Yes | No |
| Q1152\_Does your transfer of materials involve the procurement of human gametes and/or embryos for use in human embryo research and/or stem cell research? NOTE: If yes, additional institutional approval is required. Contact biospecimens@jhu.edu. | Yes | No |
| **Areas of Research (previously known as Science Codes) – If None apply, choose “None.”**  **Check ALL that apply:** | | |
| 21st Century Cities | | |
| AIDS/HIV | | |
| ARRA (American Recovery and Reinvestment Act) | | |
| Assured Autonomy | | |
| Baltimore | | |
| Big Data | | |
| Cancer | | |
| Career Award | | |
| Change of Grantee Institution | | |
| COVID-19 | | |
| Environment, Energy, Sustainability and Health Institute (EE... | | |
| Foundation Relations | | |
| Global Health Initiative | | |
| HIPAA | | |
| Hopkins | | |
| Hopkins Health | | |
| Individualized Health Initiative | | |
| Institute for Water | | |
| Inter-Governmental Personnel Agreements (IPA) | | |
| Internal Proposal | | |
| International Programs | | |
| Kavli Institute | | |
| Maryland | | |
| Master Agreement | | |
| **NONE** | | |
| Science of Learning Institute | | |
| Space Studies | | |
| Sustainable Energy | | |
| Vaccine | | |
| Women's Health | | |

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| **MIQ Mandatory International Questionnaire** | | |
| **Q1055\_Will your project require the involvement of any foreign country, its citizens or organizations?**  **If No, no further questions need to be answered.** | Yes | No |
| Q1056\_Q1057\_Q1058\_Q1070\_Q1071\_Please select foreign country and activity type where sponsored activity will take place. | Choose a Country | |
| Hiring employees | | |
| Leasing space | | |
| Opening a bank account  Sponsor | | |
| Subagreement work performed by a foreign university or other foreign entity. | | |
| Travel by project personnel to foreign countries or their facilitation of limited-duration activity in them (e.g., a conference or meeting) | | |
| U.S. or foreign persons sending or receiving international shipments of tangible items (e.g., equipment/materials, organic/inorganic) or information (e.g., technical data), sent supporting the project | | |
| University employees or students working in a foreign country for more than ninety (90) days | | |
| Other. Please describe: Click or tap here to enter text.  Q1060\_Click here to add additional countries [APPENDIX B](#_Appendix_B_–) | | |
| Q1112\_During the project, will you provide foreign persons\* access to devices, materials, source code or while they are in the Unites states? \* Individuals who: a) are not U.S. citizens, b) are not lawful permanent residents or c) have not been assigned protected status by the U.S. Government (e.g., as refugees). | Yes | No |
| Q1063\_Please provide as much of the following information as you can about any foreign national or foreign organization to which you expect to provide access to project-related devices, materials, source code or technical data during the course of the project:  If yes, complete the fields below:   * Full legal name: Enter full legal name here * Country of citizenship (or an organization's home country): Choose a Country * Connection to the proposed project (e.g., University employee, student-lab assistant, collaborator, vendor, independent contractor, subrecipient): Click or tap here to enter text. * The parts of the project in which they will be involved and what they are expected to contribute: Click or tap here to enter text. * Location during project (US and/or named foreign country): Choose a Country   Click here to add additional foreign personnel – [APPENDIX C](#_Appendix_C_–_1) | Yes | No |
| Q1090\_In addition to the foreign persons whom you described above, do you reasonably expect that other, presently unknown foreign persons will also be provided with access to devices, materials, source code or technical know-how, while they are in the United States? | Yes | No |
| Q1064\_To the best of your knowledge, will there be any restrictions upon:  a) the publication of project results, or  b) the inclusion of foreign nationals in some or all project activities?  **If no, advance to the next shaded question.** | Yes | No |
| **Q1065\_** In the text box below, please describe the kind of restrictions that you believe will apply to the conduct of your project or to the publication of its results.  Click or tap here to enter text. | | |
| Q1067\_Are any foreign countries associated with your project subject to sanctions implemented by the Office of Foreign Assets Control (OFAC)? This includes any country that you have disclosed in prior Research Compliance Questions, either because project-related activities are expected to take place within the boundaries of a country, or because one of its citizens will contribute to the project.  If you do not know if a particular country is subject to OFAC sanctions, visit the [OFAC Web page](https://home.treasury.gov/policy-issues/financial-sanctions/sanctions-programs-and-country-information) listing currently sanctioned countries. | Yes | No |

## Appendix A – Personnel Certification

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| **Q1115\_Are you participating in any investigator program sponsored by a foreign government (e.g. Talent recruitment program)?**  **ADDITIONAL COUNTRY**  Q1116\_What country? | Choose a Country |
| **ADDITIONAL COUNTRY**  Q1116\_What country? | Choose a Country |
| **ADDITIONAL COUNTRY**  Q1116\_What country? | Choose a Country |
| **ADDITIONAL COUNTRY**  Q1116\_What country? | Choose a Country |
| **ADDITIONAL COUNTRY**  Q1116\_What country? | Choose a Country |
| **ADDITIONAL COUNTRY**  Q1116\_What country? | Choose a Country |
| **ADDITIONAL COUNTRY**  Q1116\_What country? | Choose a Country |
| **ADDITIONAL COUNTRY**  Q1116\_What country? | Choose a Country |
| **ADDITIONAL COUNTRY**  Q1116\_What country? | Choose a Country |

## Appendix B – International Questionnaire

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| Q1056\_Proposed project activity will take place within a foreign country [e.g., will members of the project team, subrecipients or subcontractors be present in the country or interact with persons in the country from a location in another country. | |
| **ADDITIONAL COUNTRY**  **Q1056\_Q1057\_Q1058\_Q1070\_Q1071\_**Please select foreign country and activity type where sponsored activity will take place. | Choose a Country |
| Hiring employees | |
| Leasing space | |
| Opening a bank account  Sponsor | |
| Subagreement work performed by a foreign university or other foreign entity. | |
| Travel by project personnel to foreign countries or their facilitation of limited-duration activity in them (e.g., a conference or meeting) | |
| U.S. or foreign persons sending or receiving international shipments of tangible items (e.g., equipment/materials, organic/inorganic) or information (e.g., technical data), sent supporting the project | |
| University employees or students working in a foreign country for more than ninety (90) days | |
| Other. Please describe: Click or tap here to enter text. | |
| **ADDITIONAL COUNTRY**  **Q1056\_Q1057\_Q1058\_Q1070\_Q1071\_**Please select foreign country and activity type where sponsored activity will take place. | Choose a Country |
| Hiring employees | |
| Leasing space | |
| Opening a bank account  Sponsor | |
| Subagreement work performed by a foreign university or other foreign entity. | |
| Travel by project personnel to foreign countries or their facilitation of limited-duration activity in them (e.g., a conference or meeting) | |
| U.S. or foreign persons sending or receiving international shipments of tangible items (e.g., equipment/materials, organic/inorganic) or information (e.g., technical data), sent supporting the project | |
| University employees or students working in a foreign country for more than ninety (90) days | |
| Other. Please describe: Click or tap here to enter text. | |
| **ADDITIONAL COUNTRY**  **Q1056\_Q1057\_Q1058\_Q1070\_Q1071\_**Please select foreign country and activity type where sponsored activity will take place. | Choose a Country |
| Hiring employees | |
| Leasing space | |
| Opening a bank account  Sponsor | |
| Subagreement work performed by a foreign university or other foreign entity. | |
| Travel by project personnel to foreign countries or their facilitation of limited-duration activity in them (e.g., a conference or meeting) | |
| U.S. or foreign persons sending or receiving international shipments of tangible items (e.g., equipment/materials, organic/inorganic) or information (e.g., technical data), sent supporting the project | |
| University employees or students working in a foreign country for more than ninety (90) days | |
| Other. Please describe: Click or tap here to enter text. | |
| **ADDITIONAL COUNTRY**  **Q1056\_Q1057\_Q1058\_Q1070\_Q1071\_**Please select foreign country and activity type where sponsored activity will take place. | Choose a Country |
| Hiring employees | |
| Leasing space | |
| Opening a bank account  Sponsor | |
| Subagreement work performed by a foreign university or other foreign entity. | |
| Travel by project personnel to foreign countries or their facilitation of limited-duration activity in them (e.g., a conference or meeting) | |
| U.S. or foreign persons sending or receiving international shipments of tangible items (e.g., equipment/materials, organic/inorganic) or information (e.g., technical data), sent supporting the project | |
| University employees or students working in a foreign country for more than ninety (90) days | |
| Other. Please describe: Click or tap here to enter text. | |
| **ADDITIONAL COUNTRY**  **Q1056\_Q1057\_Q1058\_Q1070\_Q1071\_**Please select foreign country and activity type where sponsored activity will take place. | Choose a Country |
| Hiring employees | |
| Leasing space | |
| Opening a bank account  Sponsor | |
| Subagreement work performed by a foreign university or other foreign entity. | |
| Travel by project personnel to foreign countries or their facilitation of limited-duration activity in them (e.g., a conference or meeting) | |
| U.S. or foreign persons sending or receiving international shipments of tangible items (e.g., equipment/materials, organic/inorganic) or information (e.g., technical data), sent supporting the project | |
| University employees or students working in a foreign country for more than ninety (90) days | |
| Other. Please describe: Click or tap here to enter text. | |
| **ADDITIONAL COUNTRY**  **Q1056\_Q1057\_Q1058\_Q1070\_Q1071\_**Please select foreign country and activity type where sponsored activity will take place. | Choose a Country |
| Hiring employees | |
| Leasing space | |
| Opening a bank account  Sponsor | |
| Subagreement work performed by a foreign university or other foreign entity. | |
| Travel by project personnel to foreign countries or their facilitation of limited-duration activity in them (e.g., a conference or meeting) | |
| U.S. or foreign persons sending or receiving international shipments of tangible items (e.g., equipment/materials, organic/inorganic) or information (e.g., technical data), sent supporting the project | |
| University employees or students working in a foreign country for more than ninety (90) days | |
| Other. Please describe: Click or tap here to enter text. | |
| **ADDITIONAL COUNTRY**  **Q1056\_Q1057\_Q1058\_Q1070\_Q1071\_**Please select foreign country and activity type where sponsored activity will take place. | Choose a Country |
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| Subagreement work performed by a foreign university or other foreign entity. | |
| Travel by project personnel to foreign countries or their facilitation of limited-duration activity in them (e.g., a conference or meeting) | |
| U.S. or foreign persons sending or receiving international shipments of tangible items (e.g., equipment/materials, organic/inorganic) or information (e.g., technical data), sent supporting the project | |
| University employees or students working in a foreign country for more than ninety (90) days | |
| Other. Please describe: Click or tap here to enter text. | |
| **ADDITIONAL COUNTRY**  **Q1056\_Q1057\_Q1058\_Q1070\_Q1071\_**Please select foreign country and activity type where sponsored activity will take place. | Choose a Country |
| Hiring employees | |
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| Opening a bank account  Sponsor | |
| Subagreement work performed by a foreign university or other foreign entity. | |
| Travel by project personnel to foreign countries or their facilitation of limited-duration activity in them (e.g., a conference or meeting) | |
| U.S. or foreign persons sending or receiving international shipments of tangible items (e.g., equipment/materials, organic/inorganic) or information (e.g., technical data), sent supporting the project | |
| University employees or students working in a foreign country for more than ninety (90) days | |
| Other. Please describe: Click or tap here to enter text. | |

## Appendix C – International Questionnaire

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| Q1112\_Additional foreign persons who have access to devices, materials, source code or while they are in the Unites states: Individuals who: a) are not U.S. citizens, b) are not lawful permanent residents or c) have not been assigned protected status by the U.S. Government (e.g., as refugees). |
| **Additional Foreign Personnel**  Q1063\_Please provide as much of the following information as you can about any foreign national or foreign organization to which you expect to provide access to project-related devices, materials, source code or technical data during the course of the project:   * Full legal name: Enter full legal name here * Country of citizenship (or an organization's home country): Choose a Country * Connection to the proposed project (e.g., University employee, student-lab assistant, collaborator, vendor, independent contractor, subrecipient): Click or tap here to enter text. * The parts of the project in which they will be involved and what they are expected to contribute: Click or tap here to enter text. * Location during project (US and/or named foreign country): Choose a Country |
| **Additional Foreign Personnel**  Q1063\_Please provide as much of the following information as you can about any foreign national or foreign organization to which you expect to provide access to project-related devices, materials, source code or technical data during the course of the project:   * Full legal name: Enter full legal name here * Country of citizenship (or an organization's home country): Choose a Country * Connection to the proposed project (e.g., University employee, student-lab assistant, collaborator, vendor, independent contractor, subrecipient): Click or tap here to enter text. * The parts of the project in which they will be involved and what they are expected to contribute: Click or tap here to enter text. * Location during project (US and/or named foreign country): Choose a Country |
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